



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 11738.00045)

PATENT

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TECHNOLOGY CENTER R3700

In the Application of:

Jerry Hartlaub

Serial No. 10/001,357

Filed: October 31, 2001

For: NON-CONFORMANCE MONITORING AND
CONTROL TECHNIQUES FOR AN IMPLANTABLE
MEDICAL DEVICE

Group Art Unit: 3763

Examiner: not assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. 1.56. Copies of the references cited below are enclosed.

The references are also listed on the enclosed and completed PTO Form 1449.

REFERENCES

U.S. Patent Documents

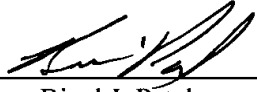
1. U.S. Patent No. 4,766,542, issued August 23, 1988;
2. U.S. Patent No. 5,389,078, issued February 14, 1995;
3. U.S. Patent No. 5,950,630, issued September 14, 1999;
4. U.S. Patent No. 5,970,466, issued October 19, 1999; and
5. U.S. Patent No. 6,003,006, issued December 14, 1999.

Foreign Patent Documents

1. International Publication No. WO 94/24929, published November 10, 1994;
2. International Publication No. WO 00/47108, published August 17, 2000;
3. International Publication No. WO 84/01719, published May 10, 1984;
4. International Publication No. WO 96/13790, published May 9, 1996;
5. International Publication No. WO 99/10029, published March 4, 1999; and
6. International Publication No. WO 99/44167, published September 2, 1999.

Respectfully submitted,
BANNER & WITCOFF, LTD

Dated: March 26, 2002

By: 
Binal J. Patel
Reg. No. 42,065

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

of 1

Complete if Known

Application Number	10/001,357
Filing Date	October 31, 2001
First Named Inventor	Jerry Hartlaub
Group Art Unit	3763
Examiner Name	n/a
Attorney Docket Number	11738.00045

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-4,766,542	08/23/1988	Pilarczyk	
		US- 5,389,078	02/14/1995	Zalesky et al.	
		US- 5,950,630	09/14/1999	Portwood et al.	
		US- 5,970,466	10/19/1999	Detjen et al.	
		US- 6,003,006	12/14/1999	Colella et al.	
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TECHNOLOGY CENTER R3700**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		✓ WO 94/24929	11/10/94			
		WO 00/47108	08/17/00			
		WO 84/01719	05/10/84			
		WO 96/13790	05/09/96			
		WO 99/10029	03/04/99			
		WO 99/44167	09/02/99			

Examiner
SignatureDate
Considered¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.² Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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